

YAKIMA SCHOOL DISTRICT 403(B) VENDORS

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ROTH 403(B) INFORMATION

YSD allows Roth 403(b) contributions and many Employer Vendors have elected to accept Roth 403(b) contributions. To find the latest information about which Employer's vendors will accept Roth 403(b) contributions, please click on the linked Vendor names in the list of "403(b) TSA Active Payroll Slots" shown in the right column.

BASIC INFORMATION ABOUT VENDORS

Some investment companies have numerous local financial agents authorized to do business on their behalves. Others have no local agents at all.

On the other hand, some local financial agents represent more than one investment company.

The list of companies to the right includes the most recent information available about companies on the Yakima School District list of 403(b) Plan Vendors. The links are designed to assist employees in learning more about specific vendors and their products and to contact company representatives for additional information.

It is the responsibility of the company or agent and the employee to make sure the vendor receives the company application before contributions are sent to the company by Yakima School District. Documentation that an account has been established is required when submitting a Yakima School District Salary Reduction Agreement upon initial plan enrollment and upon starting up contributions to a new vendor. Please visit the 403(b) Enrollment Procedures page for detailed enrollment instructions.

If you are interested in contributing to a company that is not on the "403(b) TSA Active Payroll Slots" list, or if you are a financial professional who would like to know more about adding a Vendor to the list of Active Payroll Slot, please contact us for assistance. The general rules for adding a payroll slot are in Section 1.3(b) of the Plan Document

BASIC INFORMATION ABOUT PRODUCTS

Investment products for 403(b) plans may be broken down into the following investment types:

- Fixed Annuities
- Variable Annuities with a Fixed Account
- Variable Annuities without a Fixed Account
- 403(b)(7) Custodial Mutual Funds (No-Load)
- 403(b)(7) Custodial Mutual Funds (Load)
- 403(b)(7) Custodial Mutual Funds (Deferred Sales Charges)

Various vendors may offer one or more of these types of investments. Look for fund profiles, performance, prospectuses, and fees associated with specific vendors in the column to the right for information about particular offerings.

VENDORS ELIGIBLE TO RECEIVE CONTRIBUTIONS

403(B) TSA VENDORS WITH ACTIVE PAYROLL SLOTS

Important: The list below represents those Vendors that currently hold Active Payroll Slots in the YSD 403(b) Plan. This list was updated to remove Vendors that vacated the 403(b) market on or before 12/31/2008, thus establishing the initial list of Vendors holding Payroll Slots under the Employer's 403(b) Plan Document. Additional Vendor-specific information (viewable by clicking a Vendor's name in the following list) is being added to the site by the Vendors themselves, and should expand and improve over time.

Employees of YSD are eligible to apply for an account under the 403(b) Plan with any Vendor whose name appears in the following list. Please see Enrollment Procedures for details on how to do this:

- Ameriprise Financial
- ASPIre Financial Services
- Fidelity Investments
- Great American Financial Resources
- Horace Mann
- ING Life Insurance and Annuity Company
- ING ReliaStar Life Insurance Company
- Life Insurance Company of the Southwest
- New York Life
- Protective Life Insurance Co.
- Security Benefit Life Insurance Company
- Thrivent Financial for Lutherans
- VALIC
- Waddell & Reed, Inc
- Western United Life
- End of List

[Click Here for a Printer-Friendly PDF list of the above Vendors.](#)

403(B) TSA VENDORS WITH INACTIVE PAYROLL SLOTS

Important: The list below represents those Vendors that currently hold Inactive Payroll Slots in the YSD 403(b) Plan. This list was updated to remove Vendors that vacated the 403(b) market on or before 12/31/2008, thus establishing the initial list of Vendors holding Payroll Slots under the Employer's 403(b) Plan Document. Additional Vendor-specific information (viewable by clicking a Vendor's name in the following list) is being added to the site by the Vendors themselves, and should expand and improve over time.

Employees of YSD already contributing to the Vendors below may continue to do so. However, before additional employees will be allowed to begin contributions to one of these vendors, a sufficient number of additional contributors must submit Salary Reduction Agreements as a group to reach a

BASIC INFORMATION ABOUT MARKETING

Generally speaking, vendors market their 403(b) investment products using one or more of the following approaches:

- Agents Who Are Contracted Exclusively with the Vendor
- Independent 403(b) Practitioners
- Regional or Central Office Employees
- Seminars, Meetings, and/or Brochure Distribution
- Websites

HOW DO I CHOOSE AN INVESTMENT?

CCC provides in-depth information to assist you in choosing an investment. [Learn More](#)

WHAT IF I NEED FINANCIAL ADVICE?

Click on this link for information about the financial advisor industry and helpful suggestions about how to locate one or more qualified, professional, and licensed financial advisors that meet your needs. [Learn More](#)

total of 5 active contributors:

- [End of List](#)

[Click Here for a Printer-Friendly PDF list of the above Vendors.](#)

VENDORS ELIGIBLE TO RECEIVE EXCHANGES, TRANSFERS, AND ROLLOVER CONTRIBUTIONS

The following Vendors have entered into Information Sharing Agreements with YSD, either indirectly via umbrella CCC agreements or directly via agreements that designate CCC as an agent for YSD and as an information sharing party on behalf of YSD. These Vendors are able to receive contract exchanges within the Plan, plan-to-plan transfers into the Plan, and Rollover Contributions into the Plan.

403(B) TSA VENDORS WITH CCC UMBRELLA ISAs

The following Vendors have entered into CCC's **Umbrella Information Sharing Agreement**. Click a Vendor below for further Vendor information and to review their Umbrella Information Sharing Agreement with CCC:

- American Century Investments, Inc.
- American Fidelity Assurance Company
- American General Life Companies
- American National Insurance Company
- American United Life Insurance Company (OneAmerica)
- Ameriprise Financial
- ASPIre Financial Services
- Aviva Life Insurance Company
- AXA Equitable Life Insurance Company
- Commonwealth Annuity (including for Kemper / Zurich American Variable & Protective Life Variable)
- First Investors Corporation
- Franklin Templeton
- FTJ Fund Choice
- Great American Financial Resources
- Horace Mann
- Industrial Alliance
- ING Life Insurance and Annuity Company
- ING ReliaStar Life Insurance Company
- Jackson National
- Jefferson National
- Kansas City Life
- The Legend Group, Inc
- Life Insurance Company of the Southwest
- Lincoln Investment Planning, Inc
- MassMutual Financial Group
- MetLife
- Midland National Life Insurance Company
- MMA Praxis Mutual Funds
- Modern Woodmen of America
- National Life Insurance Company
- New York Life
- North American Company for Life and Health
- North Coast Life
- OppenheimerFunds Distributors, Inc
- Pacific Life
- PFS Investments
- PlanMember Services Corporation
- Protective Life Insurance Co.
- Prudential
- Putnam Investments
- Security Benefit Life Insurance Company
- SEI Private Trust Company

- Sentinel Funds
- Standard Insurance Company
- Sunset Life
- Symetra Financial
- Thrivent Financial for Lutherans
- Thrivent Investment Management Inc
- TIAA-CREF
- Union Central Life Insurance Company
- USAA Investment Management Company
- USAA Life Insurance Company
- VALIC
- Waddell & Reed, Inc
- Wells Investment Securities
- Western National Life Insurance Company
- Western United Life
- End of List

Click Here for a Printer-Friendly PDF list of the above Exchanges, Transfers, and Rollover Vendors.

403(B) TSA VENDORS WITH DIRECT TO EMPLOYER ISAs

The following Vendors have entered into an ISA directly with YSD that designates CCC as an agent for the Employer for information sharing purposes. Click a Vendor below for further information about that Vendor :

- Fidelity Investments
- The Vanguard Group
- End of List

Click Here for a Printer-Friendly PDF list of the above Exchanges, Transfers, and Rollover Vendors.

ORPHANED VENDORS

An Orphaned Vendor for YSD is a Vendor that received contributions under the Plan during the period 1/1/2005 through 12/31/2008, but has not and will not receive Contributions, Exchanges, Transfers, or Rollover Contributions into Accounts under the Plan after 12/31/2008. The following list includes all known YSD Orphaned Vendors:

- Lord Abbett
- Nationwide Financial
- Smith Barney
- State Farm Insurance
- SunAmerica Annuity and Life Assurance Co.
- Washington National Insurance
- End of List

Click Here for a Printer-Friendly PDF list of the above Vendors.

DESELECTED VENDORS

Important: The list below represents those Vendors that held Active or Inactive Payroll Slots in the YSD 403(b) Plan and received contributions after 12/31/2008, but that no longer are eligible to receive contributions.

- End of List

Click Here for a Printer-Friendly PDF list of the above Vendors.

GRANDFATHERED VENDORS

GRANDFATHERED CONTRIBUTION VENDORS

The following Vendors received Contributions under the Plan prior to 1/1/2005.

The following Vendors received Contributions under the Plan prior to 12/31/2004, but have received no Contributions under the Plan after 12/31/2004:

- End of List

[Click Here for a Printer-Friendly PDF list of the above Exchanges, Transfers, and Rollover Vendors.](#)

GRANDFATHERED EXCHANGE/TRANSFER/ROLLOVER VENDORS

The following Vendors received at least one 90-24 Transfer under the Plan prior to 9/25/2007, but have not received Contributions into any Account for any Participant under the Plan for YSD since 12/31/2004:

- End of List

[Click Here for a Printer-Friendly PDF list of the above Exchanges, Transfers, and Rollover Vendors.](#)